### **Annals of Internal Medicine**

## Medical Masks Versus N95 Respirators for Preventing COVID-19 Among Health Care Workers

### **A Randomized Trial**

Mark Loeb, MD; Amy Bartholomew, MScN; Madiha Hashmi, MD; Wadea Tarhuni, MD; Mohamed Hassany, MD; Ilan Youngster, MD; Ranjani Somayaji, MD; Oscar Larios, MD; Joseph Kim, MD; Bayan Missaghi, MD; Joseph V. Vayalumkal, MD; Dominik Mertz, MD; Zain Chagla, MD; Maureen Cividino, MD; Karim Ali, MD; Sarah Mansour, MB BCh BAO; Lana A. Castellucci, MD; Charles Frenette, MD; Leighanne Parkes, MD; Mark Downing, MD; Matthew Muller, MD, PhD; Verne Glavin, MD; Jennifer Newton, BSc; Ravi Hookoom, BASc; Jerome A. Leis, MD; James Kinross, MD; Stephanie Smith, MD; Sayem Borhan, PhD; Pardeep Singh, BSc; Eleanor Pullenayegum, PhD; and John Conly, MD

**Background:** It is uncertain if medical masks offer similar protection against COVID-19 compared with N95 respirators.

**Objective:** To determine whether medical masks are noninferior to N95 respirators to prevent COVID-19 in health care workers providing routine care.

**Design:** Multicenter, randomized, noninferiority trial. (ClinicalTrials. gov: NCT04296643).

**Setting:** 29 health care facilities in Canada, Israel, Pakistan, and Egypt from 4 May 2020 to 29 March 2022.

**Participants:** 1009 health care workers who provided direct care to patients with suspected or confirmed COVID-19.

**Intervention:** Use of medical masks versus fit-tested N95 respirators for 10 weeks, plus universal masking, which was the policy implemented at each site.

**Measurements:** The primary outcome was confirmed COVID-19 on reverse transcriptase polymerase chain reaction (RT-PCR) test.

**Results:** In the intention-to-treat analysis, RT-PCR-confirmed COVID-19 occurred in 52 of 497 (10.46%) participants in the medical mask group versus 47 of 507 (9.27%) in the N95 respirator group (hazard ratio [HR], 1.14 [95% CI, 0.77 to 1.69]). An unplanned subgroup analysis by country found that in the medical mask group versus the N95 respirator group RT-PCR-confirmed COVID-19 occurred in 8 of 131 (6.11%) versus 3 of 135 (2.22%) in Canada (HR, 2.83 [CI,

ealth care workers use either medical masks, also called surgical masks, or N95 respirators for the routine care of patients with COVID-19 as a component of their personal protective equipment. Medical masks are recommended by the World Health Organization for routine care (1, 2), whereas N95 respirators are recommended by the Centers for Disease Control and Prevention for the routine care of patients with COVID-19 (3-5).

It is uncertain if medical masks offer similar protection against COVID-19 compared with N95 respirators (6). Observational studies report varied findings and are limited by self-reported outcomes, potential recall bias, and ecological analyses (7-14). Systematic reviews of randomized trials and observational studies of other respiratory viruses suggest similar protection (15, 16). 0.75 to 10.72]), 6 of 17 (35.29%) versus 4 of 17 (23.53%) in Israel (HR, 1.54 [CI, 0.43 to 5.49]), 3 of 92 (3.26%) versus 2 of 94 (2.13%) in Pakistan (HR, 1.50 [CI, 0.25 to 8.98]), and 35 of 257 (13.62%) versus 38 of 261 (14.56%) in Egypt (HR, 0.95 [CI, 0.60 to 1.50]). There were 47 (10.8%) adverse events related to the intervention reported in the medical mask group and 59 (13.6%) in the N95 respirator group.

**Limitation:** Potential acquisition of SARS-CoV-2 through household and community exposure, heterogeneity between countries, uncertainty in the estimates of effect, differences in self-reported adherence, differences in baseline antibodies, and between-country differences in circulating variants and vaccination.

**Conclusion:** Among health care workers who provided routine care to patients with COVID-19, the overall estimates rule out a doubling in hazard of RT-PCR-confirmed COVID-19 for medical masks when compared with HRs of RT-PCRconfirmed COVID-19 for N95 respirators. The subgroup results varied by country, and the overall estimates may not be applicable to individual countries because of treatment effect heterogeneity.

**Primary Funding Source:** Canadian Institutes of Health Research, World Health Organization, and Juravinski Research Institute.

Ann Intern Med. 2022;175:1629-1638. doi:10.7326/M22-1966 Annals.org For author, article, and disclosure information, see end of text. This article was published at Annals.org on 29 November 2022.

There is concern that medical masks offer less protection because of their looser fit and that they do not filter as effectively, whereas N95 respirators are fit tested and provide greater filtration (17). There were insufficient supplies of N95 respirators globally during the pandemic, and currently there is a lack of access in low- and middle-income countries because of the high costs (18).

#### See also:

Editorial comment	
<i>Web-Only</i> Supplement	

© 2022 American College of Physicians 1629

### ORIGINAL RESEARCH

One randomized controlled trial set in the community reported a reduction of SARS-CoV-2 with medical masks (19). It is important to determine the relative protection of medical masks compared with N95 respirators.

We conducted an international pragmatic randomized controlled trial where health care workers were randomly assigned to either medical masks or N95 respirators when providing routine care to patients with suspected or confirmed COVID-19. We hypothesized that medical masks would be noninferior to N95 respirators.

### **Methods**

### **Trial Design and Oversight**

This pragmatic, randomized, open-label, multicenter trial initially aimed to assess whether medical masks were noninferior to N95 respirators for protection against COVID-19 among unvaccinated nurses providing routine care to patients with suspected or confirmed COVID-19 (see the study protocol and statistical analysis plan, available at Annals.org). The evolution of the pandemic led to protocol changes (Supplement, available at Annals.org). Before trial commencement, in addition to nurses, other health care workers were made eligible to increase enrollment, and follow-up was reduced from 12 to 10 weeks to minimize loss to follow-up. As circulation of SARS-CoV-2 increased, health care workers known to have a previous laboratory-confirmed clinical diagnosis of COVID-19 at the time of enrollment were excluded. As vaccine rollout began, participants with receipt of 1 or more doses of a COVID-19 vaccine with greater than 50% efficacy for the circulating strain (for example, messenger RNA [mRNA] or vector-based COVID-19 vaccine against the original SARS-CoV-2 strain) were excluded, and sites in Israel, Pakistan, and Egypt were added to increase enrollment. Participants that received a single dose of an mRNA or vector-based COVID-19 vaccine after enrollment (with an estimated >50% efficacy against the circulating strain) were followed until 2 weeks after their first dose and then censored. The variable followup time led to a change to a time-to-event analysis, and a hazard ratio (HR) was used for the noninferiority margin.

The trial enrolled participants in 29 health care facilities: 17 acute care hospitals in Canada, 4 acute care hospitals in Pakistan, 2 long-term care facilities in Israel (facilities where trained medical staff are always available to assist residents and where high-flow oxygen and medication via inhalation could be administered), and 6 acute care hospitals in Egypt. The study was done from 4 May 2020 to 29 March 2022.

The trial was approved by the Hamilton Integrated Research Ethics Board and the institutional review boards at all participating institutions. All participants provided written informed consent. The trial was restricted to health care settings where the policy was to use medical masks while providing routine care to patients with confirmed or suspected COVID-19. A data monitoring committee provided oversight of safety considerations in the trial.

#### **Participants**

Health care workers who provided direct care to patients with suspected or confirmed COVID-19 in specialized COVID-19 units and in emergency departments, medical units, pediatric units, and long-term care facilities were enrolled; intensive care units were not included in the study. Health care workers were required to spend 60% or more of their time doing clinical work when enrolled.

Health care workers were excluded if they did not have a valid fit test within the past 24 months or could not pass a fit test, had 1 or more high-risk comorbidities for COVID-19 (hypertension, cardiac disease, pulmonary disease, chronic kidney disease, diabetes, chronic liver disease, actively treated cancer, or immunosuppression due to illness or medications), had a previous laboratoryconfirmed clinical diagnosis of COVID-19 at the time of enrollment, or had received 1 or more doses of a COVID-19 vaccine with greater than 50% efficacy for the circulating strain (for example, mRNA or vector-based COVID-19 vaccine against the original SARS-CoV-2 strain).

### **Randomization and Blinding**

Trial participants were randomly assigned (1:1) to either medical masks or N95 respirators. Participants were randomly assigned centrally by a study statistician who generated the sequence using a computerized random number generator. Randomization was stratified by site in permuted blocks of 4. The randomization scheme was provided by an interactive web response system and performed centrally. Investigators were blinded to the group assignment, but it was not possible to conceal the identity of the medical mask or N95 respirator assignment to the study staff or participants.

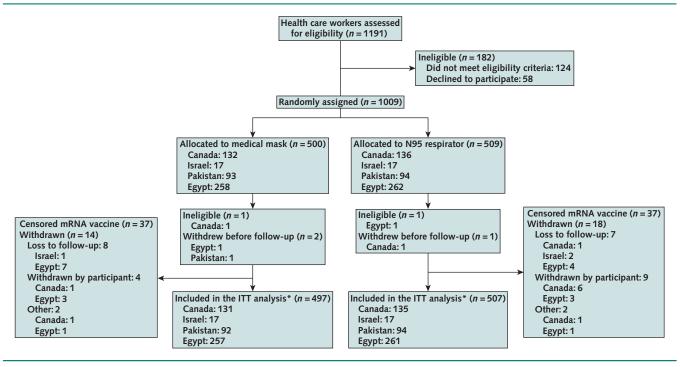
#### Interventions

Health care workers randomly assigned to the medical mask group were instructed to use the medical mask when providing routine care to patients with COVID-19 or suspected COVID-19, which aligned with the current policy in their setting. The ASTM International certified masks were provided to the health care workers either by their health care facility or by the study (**Supplement Table 1**). As part of the trial protocol, health care workers could also use the N95 respirator at any time based on a point-of-care risk assessment.

Health care workers randomly assigned to the N95 respirator group were instructed to use a fit-tested National Institute for Occupational Safety and Health-approved N95 respirator when providing routine care to patients with COVID-19 or suspected COVID-19. Participants were required to use the type of device they were allocated to, either a medical mask or an N95 respirator, for 10 weeks.

The intervention included universal masking, which was the policy implemented at each site. This refers to the use of a mask when in the health care facility for all activities, whether patient related or not, including in workrooms, meetings, and treating persons that were not suspected or known to be positive for COVID-19. Participants were asked to report the extent to which

#### Figure 1. Trial flow diagram.



ITT = intention-to-treat; mRNA = messenger RNA.

\* Dates of follow-up: Canada (May 2020 to May 2021), Israel (November 2020 to January 2021), Pakistan (June 2021 to December 2021), and Egypt (December 2021 to March 2022).

they used the mask that they were assigned to on a weekly basis-that is, "During your last work shift, to what extent did you wear the mask you were assigned," where the possible responses were "Always," "Sometimes," "Never," or "Do not recall." In both study groups, health care workers were required to use the N95 respirator for aerosol-generating medical procedures, as this was in keeping with their institutional policies. In keeping with local policies, eye protection, gowns, and gloves were worn when caring for patients with suspected or confirmed COVID-19. Participants were asked to discard the medical mask or N95 respirator if it became soiled or damaged or if breathing through the device became difficult. If the institutional policy was for extended use and masks were not typically removed after a patient encounter, the extended use procedure was to be followed.

#### Outcomes

The primary outcome was time to reverse transcriptase polymerase chain reaction (RT-PCR)-confirmed COVID-19. This was measured from the date of randomization until the date of procurement of a specimen that was positive by RT-PCR. Follow-up continued until the end of 10 weeks, until 2 weeks (1 incubation period) after receipt of an mRNA vaccine, or until the date of a participant withdrawal from the trial. Laboratory personnel doing COVID-19 testing were blind to treatment allocation. Testing was done at the health care facility laboratory using health care-administered nasopharyngeal swabs. Sera from participants was obtained at baseline and at the end of follow-up and then tested for spike IgG antibodies and for nucleocapsid IgG antibodies using EUROIMMUN assays.

Secondary outcomes included serologic evidence of infection (done in participants who were seronegative at baseline and defined as a change from negative EUROIMMUN spike IgG and nucleocapsid IgG antibodies at baseline to positive nucleocapsid IgG antibody), acute respiratory illness (defined by fever and cough), work-related absenteeism, lower respiratory tract infection or pneumonia, intensive care admission, mechanical ventilation, or death. Laboratory-confirmed infection was defined as COVID-19 confirmed by RT-PCR in symptomatic participants or seroconversion.

Participants were assessed for signs and symptoms of COVID-19 through twice-weekly automated text messages. A nasopharyngeal swab was obtained if any one the following symptoms or signs was present: fever (≥38 °C), cough, or shortness of breath, or if 2 of the following were present: fatigue, myalgia, headache, dizziness, expectoration, sore throat, diarrhea, nausea, vomiting, abdominal pain, runny nose, altered taste or smell, conjunctivitis, or painful swallowing.

Adherence to the assigned medical mask or N95 respirator for routine care and to hand hygiene was measured using weekly self-reporting for all participants and external monitoring wherever feasible. Audits were done once at 3 hospitals in Pakistan and were repeated once at 2 of these hospitals within a 2-week period. They were done at 6 hospitals in Egypt where they were repeated twice at 2 hospitals and repeated once at 4 hospitals over

Table. Participant Characteristics		
Characteristic	Medical Mask (n = 497)	N95 Respirator ( <i>n</i> = 507
Mean age (SD) [range], y		
Canada	35.5 (10.0) [22-61]	36.5 (10.1) [20-69]
Israel	29.5 (8.7) [23-58]	31.5 (8.9) [20-51]
Pakistan	27.5 (5.7) [20-54]	26.8 (5.2) [20-45]
Egypt	36.9 (10.4) [19-59]	37.3 (11.5) [18-78]
All sites	34.6 (10.2) [19-61]	34.9 (10.9) [18-78]
Female, n (%) Canada	109 (83.2)	105 (77.8)
Israel	13 (76.5)	9 (52.9)
Pakistan	47 (51.1)	47 (50.0)
Egypt	192 (74.7)	177 (67.8)
All sites	361 (72.6)	338 (66.7)
Distribution by job type, n (%)		
Canada	0((70.0)	444 (00.0)
Nurse	96 (73.3)	111 (82.2)
Physician	25 (19.1)	17 (12.6)
Personal support worker	6 (4.6)	4 (3.0)
Allied health	4 (3.1)	3 (2.2)
Israel		. /
Nurse	13 (76.5)	7 (41.2)
Physician	0 (0)	1 (5.9)
Personal support worker	0 (0)	
Allied health		0(0)
	4 (23.5)	9 (52.9)
Pakistan		
Nurse	84 (91.3)	84 (89.4)
Physician	3 (3.3)	5 (5.3)
Personal support worker	0(0)	0 (0)
Allied health	5 (5.4)	5 (5.3)
Egypt		07 (00 0)
Nurse	86 (33.5)	87 (33.3)
Physician	10 (3.9)	9 (3.5)
Personal support worker	119 (46.3)	122 (46.7)
Allied health All sites	42 (16.3)	43 (16.5)
Nurse	279 (56.1)	289 (57.0)
Physician	38 (7.7)	32 (6.3)
Personal support worker	125 (25.2)	126 (24.9)
Allied health	55 (11.1)	60 (11.8)
Distribution by unit type, <i>n</i> (%)		
Canada		107 (70.2)
Acute care	97 (74.1)	107 (79.3)
Emergency department	34 (26.0)	28 (20.7)
Long-term care	0 (0)	0 (0)
Israel		a (a)
Acute care	0(0)	0 (0)
Emergency department	0(0)	0 (0)
Long-term care Pakistan	17 (100)	17 (100)
Acute care	71 (77.2)	69 (73.4)
Emergency department	21 (22.8)	25 (26.6)
Long-term care	0 (0)	0 (0)
Egypt		
Acute care	239 (93.0)	243 (93.1)
Emergency department	18 (7.0)	18 (6.9)
Long-term care All sites	0 (0)	0 (0)
Acute care	407 (81.9)	419 (82.6)
Emergency department	73 (14.7)	71 (14.0)
Long-term care	17 (3.4)	17 (3.4)
Distribution by region, n (%)		
	131 (26 4)	135 (26 6)
Canada	131 (26.4)	135 (26.6)
Israel	17 (3.4)	17 (3.4)
Canada		

1632 Annals of Internal Medicine • Vol. 175 No. 12 • December 2022

Continued on following page Annals.org

Table-Continued								
Characteristic	Medical Mask (n = 497)	N95 Respirator ( <i>n</i> = 507						
Received vaccine with efficacy ≤50%, <i>n</i> (%)*								
Canada	0(0)	0 (0)						
Israel	0(0)	0 (0)						
Pakistan	70 (76.1)	74 (78.7)						
Egypt	127 (49.4)	137 (52.5)						
All sites	197 (39.6)	211 (41.6)						
Seropositivity at baseline, <i>n/N</i> (%)†								
Canada	3/129 (2.3)	2/128 (1.6)						
Israel	2/16 (12.5)	2/15 (13.3)						
Pakistan	72/92 (78.3)	82/94 (87.2)						
Egypt	209/256 (81.6)	210/261 (80.5)						
All sites	286/493 (58.0)	296/498 (59.4)						

\* Sinopharm (China National Pharmaceutical Group) or Sinovac (Sinovac Biotech).

+ Seropositivity was defined by a positive SARS-CoV-2 spike IgG antibody or nucleocapsid IgG antibody. Data were missing for 4 participants in the medical mask group and 9 in the N95 respirator group.

a 4-week period. To conduct the audits of adherence to the intervention (medical mask or N95 respirator), the coordinating center randomly selected 20% of shifts at a health care facility, and during these shifts, trial participants were observed. Wearing an N95 respirator for aerosolgenerating procedures was not considered during the observed audits. Reported exposures and potential exposures to COVID-19, including community and home exposure, hospital exposures, participation in aerosol-generating procedures, and hospital outbreaks (as defined by the health care facility) were measured. Participants were asked to keep diaries of signs and symptoms of respiratory illness and exposure to household and community members with respiratory illness. Cycle threshold values from patients with COVID-19, obtained while participants were on the same study units as the patients, were used to estimate viral load as a surrogate for exposure risk.

#### **Statistical Analysis**

The study was powered based on the primary outcome of RT-PCR-confirmed COVID-19. For a noninferiority HR of 2, a sample size of 875 participants provided 90% power at a 0.025 significance level for event rates of 10% and an actual HR of 1. The original design estimated an event rate of 5% with a noninferiority margin of 5 percentage points (that is, up to a 10% event rate would be considered noninferior). On changing the outcome from 10-week occurrence of RT-PCR-confirmed COVID-19 to time to RT-PCR-confirmed COVID-19 so as to allow for censoring due to vaccination, the original margin on the absolute effect size corresponds to a relative effect size (HR) of 2 (see the Supplement for earlier trial design sample size calculations). A final sample size of 1010 accounted for participants who could not complete 10 weeks of follow-up because of administration of mRNA vaccine as well as for withdrawals. Hazard ratios and corresponding 2-sided 95% CIs were estimated using a Cox proportional hazards model stratifying by health care facility. The analysis fulfilled the Schoenfeld residual test for the assumption of proportional hazards in Cox analysis. The cumulative incidence of RT-PCR-confirmed COVID-19 was estimated using Kaplan-Meier methods.

Outcomes were analyzed on an intention-to-treat basis, defined by medical mask or N95 respirator assignment and follow-up until 10 weeks or 2 weeks after the first mRNA vaccine dose. Participants did not have to complete 10 weeks of follow-up to be included in the intention-totreat analysis. Censoring was assumed independent of the randomized group assignment. No attempt was made to impute missing postrandomization values, and only observed values were used in the analysis. A post hoc analysis of the primary outcome with participants restricted to those seronegative at baseline was done using a Cox proportional hazards model stratifying by health care facility.

For serology and overall laboratory-confirmed infection, we conducted a logistic regression analysis adjusting for site to obtain odds ratios and 95% CIs. Although subgroup analyses based on pre-Omicron variant versus Omicron variant and by universal masking were planned a priori, these analyses are not reported because of potential confounding of Omicron by country and because of the mandatory policy of universal masking for all health care facilities in the trial.

A post hoc subgroup analysis was done to compare the effect of medical masks versus N95 respirators in participants with no reported exposure to household or community members with respiratory illness to those that reported at least 1 such exposure. We also conducted an unplanned subgroup analysis of the primary outcome by country. For the safety analyses, the number and percentage of participants with an adverse event according to study group are reported. For participant exposure to patients with COVID-19 or exposure to patients with suspected COVID-19, the number of exposures per week for up to 10 weeks were counted and categorized (0, 1 to 5, 6 to 10, or ≥11 exposures). The number of exposure categories per 1000 participant-days was then calculated by country and study group. Statistical analyses were done using R, version 4.2.0 (R Foundation for Statistical Computing).

#### **Role of the Funding Source**

The study was funded by the Canadian Institutes of Health Research, World Health Organization, and Juravinski Research Institute. The external funders of the study had no

#### Figure 2. Forest plot of the primary intention-to-treat analysis of RT-PCR-confirmed COVID-19.

T-PCR–Confirmed COVID-19	Events,	n/N (%)	; [	1		
	Medical Mask	N95 Respirator				HR (95% CI)
Canada	8/131 (6.11)	3/135 (2.22)	→ → → → → → → → → → → → → → → → → → →	<u>}</u> ──		
Israel	6/17 (35.29)	4/17 (23.53)				1.54 (0.43–5.49)
Pakistan	3/92 (3.26)	2/94 (2.13)	⊢ <u>;</u>			1.50 (0.25–8.98)
Egypt	35/257 (13.62)	38/261 (14.56)	$\rightarrow$			0.95 (0.60–1.50)
All sites	52/497 (10.46)	47/507 (9.27)	i i i i i i i i i i i i i i i i i i i			1.14 (0.77–1.69)

There were 86 of 8338 (1%) weekly surveys missing in the medical mask group and 65 of 8468 (0.8%) missing in the N95 respirator group. The subgroup analysis by country was added to show the heterogeneity of treatment effect. HR = hazard ratio; RT-PCR = reverse transcriptase polymerase chain reaction.

role in study design, data collection, data analysis, or data interpretation, or in writing this report.

#### **Results**

Between 4 May 2020 and 12 January 2022, a total of 1191 health care workers were assessed for eligibility, and 1009 were enrolled. There were 500 randomly assigned to medical masks and 509 to the N95 respirator (Figure 1). There were 268 participants from Canada, 34 from Israel, 187 from Pakistan, and 520 from Egypt. The baseline characteristics were well balanced overall and were similar within each country (Table). However, seropositivity at baseline varied by country, with few seropositive participants in Canada (2%) and a majority (81%) seropositive in Egypt (Table). Overall, there were 185 (37.5%) participants in the medical group versus 185 (37.2%) in the N95 respirator group who were seronegative at baseline—that is, had no SARS-CoV-2 spike IgG or nucleocapsid IgG antibodies at baseline.

Follow-up began on 4 May 2020 and ended on 29 March 2022. Participants were enrolled from 4 May 2020 to 22 May 2021 in Canada, from 11 November 2020 to 27 January 2021 in Israel, from 24 June 2021 to 18 December 2021 in Pakistan, and from 19 December 2021 to 29 March 2022 in Egypt. The mean duration of followup was similar between the 2 study groups–9.06 weeks in the medical mask group and 9.03 weeks in the N95 respirator group. Five participants who were randomly assigned but never followed were excluded from analysis-3 in the medical mask group (1 was previously positive for COVID-19 on RT-PCR and 2 withdrew) and 2 in the N95 respirator group (1 was previously positive for COVID-19 on RT-PCR and 1 withdrew) (Figure 1). Of the resulting 1004, followup was complete (that is, full 10 weeks or 14 days after first vaccination) in 483 (97.1%) in the medical mask group and 489 (96.4%) in the N95 respirator group.

The primary outcome in the intention-to-treat analysis, RT-PCR-confirmed COVID-19, occurred in 52 of 497 (10.46%) in the medial mask group versus 47 of 507 (9.27%) in the N95 respirator group (HR, 1.14 [95% CI, 0.77 to 1.69]). The proportional hazards assumption was tested for the primary outcome and was plausible. In an unplanned subgroup analysis by country, we found that in the medical mask group versus N95 respirator group, RT-PCR-confirmed COVID-19 occurred in 8 of 131 (6.11%) versus 3 of 135 (2.22%) in Canada (HR, 2.83 [Cl, 0.75 to 10.72]), 6 of 17 (35.29%) versus 4 of 17 (23.53%) in Israel (HR, 1.54 [Cl, 0.43 to 5.49]), 3 of 92 (3.26%) versus 2 of 94 (2.13%) in Pakistan (HR, 1.50 [Cl, 0.25 to 8.98]), and 35 of 257 (13.62%) versus 38 of 261 (14.56%) in Egypt (HR, 0.95 [Cl, 0.60 to 1.50]) (Figure 2). The overall cumulative incidence is shown in Figure 3 and that by country in Figure 4.

The secondary outcomes, which varied substantially by country, are shown in **Supplement Table 2**. The sensitivity analysis for RT-PCR-confirmed COVID-19 in participants who were seronegative at baseline showed withincountry between-group HRs similar to those that include all participants (**Supplement Figure**).

Pre-Omicron exposure occurred in Canada, Israel, and Pakistan, whereas Omicron exposure occurred in Egypt. This is based on dates of SARS-CoV-2 circulation given that enrollment in Egypt began on 19 December 2021, whereas enrollment from other countries ended earlier in the pandemic, with follow-up in Pakistan ending on 28 December 2021. The post hoc intention-totreat subgroup analysis of no reported household or community exposure to respiratory illness (HR, 1.06 [Cl, 0.53 to 2.11]) versus 1 or more reported household or community exposure to respiratory illness (HR, 1.08 [Cl, 0.66 to 1.78]) did not show heterogeneity of treatment effect based on a test of interaction (P = 0.96) (Supplement Table 3).

There were 2 participants who had serious adverse events in the medical mask group (both hospitalizations for COVID-19, where 1 had confirmed pneumonia) and 1 participant in the N95 respirator group (hospitalization for COVID-19 pneumonia). In addition, there were 3 participants (2 in the medical mask group and 1 in the N95 respirator group) who could not be safely isolated at home and were hospitalized for isolation. There were no intensive care admissions and no deaths. There were 47 (10.8%) adverse events related to the intervention reported in the medical mask group and 59 (13.6%) in the N95 respirator group (**Supplement Table 4**). There was 1 participant in the medical mask group and 3 in the N95 respirator group who withdrew because of discomfort or adverse events related to the device they were assigned.

Exposure to patients with confirmed or suspected COVID-19, minutes of exposure to patients with COVID-19, aerosol-generating procedures, and community exposures were similar between study groups (Supplement Tables 5 to 9). Mean cycle threshold values of patients positive for COVID-19 were less than 30 in 84% of the 25 study units where these data were collected (Supplement Table 10). Ventilation in the study varied by location (Supplement Table 11). Outbreaks of COVD-19 were reported in 5 of 29 (17%) study units in Canada, in both long-term care facilities in Israel, and in all 6 acute care hospitals in Egypt (Supplement Table 12).

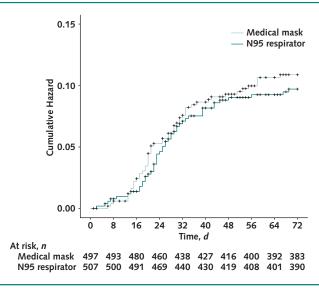
Adherence with the assigned medical mask or N95 respirator was self-reported as "always" in 91.2% in the medical mask group versus 80.7% in the N95 respirator group and as "always" or "sometimes" in 97.7% in the medical mask group versus 94.4% in the N95 respirator group (**Supplement Table 13**). Of 118 participants observed in the medical mask group, 116 (98.3%) were reported by monitors to be adherent to their assigned mask–14 (100%) in Pakistan and 102 (98%) in Egypt. Of 117 observed in the N95 respirator group, 113 (96.6%) were reported to be adherent–8 (80%) in Pakistan and 105 (98%) in Egypt (**Supplement Table 14**). Self-reported rates of adherence to hand hygiene, eye protection, use of gowns, and use of gloves were similar between study groups (**Supplement Table 13**).

#### DISCUSSION

Among health care workers who took care of patients with suspected or confirmed COVID-19, although the upper limit of the Cls of the pooled estimate for medical masks when compared with N95 respirators for preventing RT-PCR-confirmed COVID-19 was within the noninferiority margin of 2, this margin was wide, and firm conclusions about noninferiority may not be applicable given the between-country heterogeneity.

The heterogeneity in the RT-PCR positivity rate, as well as the heterogeneity in baseline seropositivity by country, may be explained by many factors. Enrollment in Canada occurred early in the pandemic in acute health care facilities. In contrast, in Israel, the study was done in long-term care facilities that had substantial outbreaks. Later in the pandemic, enrollment occurred in Pakistan and Egypt, countries with a high population density, where seropositivity in participants due to previous exposure to SARS CoV-2 and receipt of vaccine was more common. Circulation of Omicron may have been a contributing factor to the high rates of RT-PCR-confirmed COVID-19 in Egypt.

The observed results are consistent with a range of protection, from a 23% reduction in the HR with medical masks to a 69% risk increase. The relative protection of medical masks compared with N95 respirators varied by country. However, this finding does not seem to be explained by differences in baseline seropositivity given that a post hoc analysis of the effect of medical masks versus N95 respirators on RT-PCR-confirmed COVID-19 *Figure 3.* Cumulative incidence of primary analysis of RT-PCR-confirmed COVID-19.



RT-PCR = reverse transcriptase polymerase chain reaction.

that was restricted to participants seronegative at baseline led to similar within-country point estimates compared with analyses that included the seropositive participants.

Point estimates of the HRs for medical masks versus N95 respirators for both Israel and Pakistan were similar (HRs of 1.54 and 1.50). For Canada, the point estimate of 2.83 is suggestive of an increased risk with the medical mask, however, the absolute number of events is small. It is unclear whether lower COVID-19 rates in that setting, reducing the possibility of participants acquiring COVID-19 in the community, made such an effect more apparent. However, a post hoc subgroup analysis that compared participants with no reported household or community illness exposures to those that reported at least 1 exposure showed no heterogeneity in treatment effect and very similar effect sizes for both subgroups.

It is notable that there was a close to null effect of medical masks compared with N95 respirators in Egypt, where Omicron was circulating, and from where over half of our participants were enrolled. It is possible that a higher rate of community transmission could have obscured a higher rate of infection with the medical mask versus the N95 respirator, in contrast to what was seen in Canada. It is also possible that given the high rate of exposure to patients with COVID-19 reported by health care workers in Egypt with the more transmissible Omicron, the results reflect no difference between the groups in health care acquisition of RT-PCR-confirmed COVID-19. The latter is supported by the post hoc subgroup analysis comparing participants with and without exposures to household or community illness. Differences in preexisting antibodies are another possible explanation for the difference between Canada and Egypt, although the post hoc analysis that was restricted to participants seronegative at baseline, where point estimates did not change, argues against preexisting antibodies as an

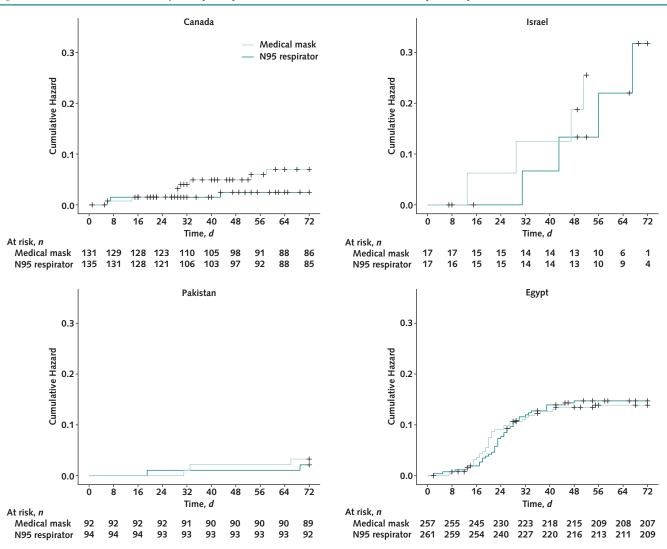


Figure 4. Cumulative incidence of primary analysis of RT-PCR-confirmed COVID-19 by country.

RT-PCR = reverse transcriptase polymerase chain reaction.

explanation for differences between Canada and Egypt. These findings and those of other country-specific data should be tempered by the pitfalls of overinterpreting subgroup effects (20).

Although self-reported adherence was lower in the N95 respirator group, the randomly conducted audited adherence was similar in both groups–98.3% in the medical mask group versus 96.6% in the N95 respirator group. It should be noted that the intervention included the mask policy at each site and not only the type of mask to which participants were randomly assigned. It is possible that the type of mask influenced adherence, which would be intrinsic to the pragmatic nature of the trial. We acknowledge concerns of suboptimal filtering capacity of medical masks, but the trial was done strictly in settings where the policy was use of medical masks for routine care, and no participants who were using N95 respirators were asked to use medical masks. In Pakistan and Egypt, the trial offered superior-quality medical masks and N95 respirators to participants who would otherwise not have access. High-risk participants were excluded from the study, and the data were routinely monitored by the Data Safety Committee. Furthermore, participants who believed they were at high risk during a particular exposure were allowed to use the N95 respirator if assigned to a medical mask.

Some of the challenges experienced when conducting this trial included lengthy delays for ethics approvals and the establishment of contracts with sites. Implementation challenges included shipping supplies internationally and delays at customs of some of these sites, long regulatory approval delays, difficulty with procurement of N95 respirators because of supply chain issues, and delays due to the need to establish research contracts with sites. Some of the lessons learned include early onboarding of new study sites, identification of new sites through national and international public health agencies, the need for expedited ethics review and streamlined contractual processes, and early planning for design adaptation due to rollout of vaccines and new emerging variants.

In conclusion, among health care workers who provided routine care to patients with COVID-19, the overall estimates rule out a doubling in hazard of RT-PCR-confirmed COVID-19 for medical masks when compared with HRs of RT-PCR-confirmed COVID-19 for N95 respirators. The subgroup results varied by country, and the overall estimates may not be applicable to individual countries because of treatment effect heterogeneity.

From Department of Pathology and Molecular Medicine and Department of Health Research Methods, Evidence, and Impact, McMaster University, Hamilton, Ontario, Canada (M.L.); Department of Pathology and Molecular Medicine, McMaster University, Hamilton, Ontario, Canada (A.B., J.N., P.S.); Ziauddin University, Karachi, Pakistan (M.Hashmi); University of Saskatchewan, Saskatoon, Saskatchewan, and Canadian Cardiac Research Centre, Windsor, Ontario, Canada (W.T.); National Hepatology and Tropical Medicine Research Institute, Cairo, Egypt (M.Hassany); Shamir Medical Center, Tzrifin, and Sackler School of Medicine, Tel Aviv University, Tel Aviv, Israel (I.Y.); Department of Medicine, University of Calgary and Alberta Health Services, Calgary, Alberta, Canada (R.S., O.L., J.Kim, B.M., J.C.); Department of Pediatrics, University of Calgary and Alberta Health Services, Calgary, Alberta, Canada (J.V.V.); Department of Medicine, McMaster University and Hamilton Health Sciences, Hamilton, Ontario, Canada (D.M., R.H.); Department of Medicine, McMaster University, and St. Joseph's Healthcare, Hamilton, Ontario, Canada (Z.C.); St. Joseph's Healthcare, Hamilton, Ontario, Canada (M.C.); Niagara Health System, Niagara, Ontario, Canada (K.A.); Montfort Hospital, Ottawa, Ontario, Canada (S.M.); Department of Medicine, Ottawa Hospital Research Institute, University of Ottawa, Ottawa, Ontario, Canada (L.A.C.); McGill University Health Centre, Montreal, Quebec, Canada (C.F.); Jewish General Hospital, Montreal, Quebec, Canada (L.P.); Unity Health-St. Joseph's, Toronto, Ontario, Canada (M.D.); Unity Health-St. Michael's, Toronto, Ontario, Canada (M.M.); Brantford Community Health System, Brantford, Ontario, Canada (V.G.); Sunnybrook Health Sciences Centre, Toronto, Ontario, Canada (J.A.L.); Imperial College, London, England (J.Kinross); University of Alberta Hospital, Edmonton, Alberta, Canada (S.S.); Department of Health Research Methods, Evidence, and Impact, McMaster University, Hamilton, Ontario, Canada (S.B.); and University of Toronto, Toronto, Ontario, Canada (E.P.).

**Financial Support:** By the Canadian Institutes of Health Research, World Health Organization, and Juravinski Research Institute.

**Disclosures:** Disclosures can be viewed at www.acponline.org/ authors/icmje/ConflictOfInterestForms.do?msNum=M22-1966.

**Data Sharing Statement:** The following data will be made available beginning 1 July 2023 for 6 months: deidentified participant data, data dictionary (loebm@mcmaster.ca). These data will be made available to other research groups for meta-analysis providing there is evidence to support the request for access, the process will be collaborative, and there is ethics approval for the request. Such requests will be reviewed and must be approved internally, for restricted to the analyses for which the protocol received ethics

approval, after approval of a proposal that has had ethical review and with a signed data access agreement. (Restrictions: Access will be approved only after secondary analyses have been completed.)

**Corresponding Author:** Mark Loeb, MD, McMaster University, 1280 Main Street West, Hamilton, Ontario L8S 4K1, Canada; e-mail, loebm@mcmaster.ca.

Author contributions are available at Annals.org.

### References

1. World Health Organization. Rational use of personal protective equipment for coronavirus disease 2019 (COVID-19) and considerations during severe shortages: interim guidance. Accessed at www.who.int/ publications/i/item/rational-use-of-personal-protective-equipment-for-coronavirus-disease-(covid-19)-and-considerations-during-severe-shortages on 24 June 2022.

2. World Health Organization. WHO recommendations on mask use by health workers, in light of the Omicron variant of concern: WHO interim guidelines, 22 December 2021. Accessed at www. who.int/publications/i/item/WHO-2019-nCoV-IPC\_Masks-Health\_ Workers-Omicron\_variant-2021.1 on 24 June 2022.

3. Janssen L, Ettinger H, Graham S, et al. The use of respirators to reduce inhalation of airborne biological agents. J Occup Environ Hyg. 2013;10: D97-103. [PMID: 23767796] doi:10.1080/15459624.2013.799964

4. National Institute for Occupational Safety and Health. Determination of particulate filter efficiency level for N95 series filters against solid particulates for non-powered, air-purifying respirators standard testing procedure. Accessed at www.cdc.gov/niosh/npptl/stps/pdfs/TEB-APR-STP-0059-508.pdf on 24 June 2022.

5. Centers for Disease Control and Prevention. Interim infection prevention and control recommendations for healthcare personnel during the coronavirus disease 2019 (COVID-19) pandemic. Accessed at www.cdc.gov/coronavirus/2019-ncov/hcp/infection-control-recommendations.html#print; 2021 on 24 June 2022.

6. Chou R, Dana T, Jungbauer R. Update alert 7: masks for prevention of respiratory virus infections, including SARS-CoV-2, in health care and community settings. Ann Intern Med. 2022;175:W58-9. [PMID: 35344376] doi:10.7326/L21-0783

7. Sims MD, Maine GN, Childers KL, et al. Coronavirus disease 2019 (COVID-19) seropositivity and asymptomatic rates in healthcare workers are associated with job function and masking. Clin Infect Dis. 2021;73:S154-62. [PMID: 33150375] doi:10.1093/cid/ ciaa1684

8. Wilson S, Mouet A, Jeanne-Leroyer C, et al. Professional practice for COVID-19 risk reduction among health care workers: a cross-sectional study with matched case-control comparison. PLoS One. 2022;17: e0264232. [PMID: 35313328] doi:10.1371/journal.pone.0264232

9. Rodriguez-Lopez M, Parra B, Vergara E, et al. A case-control study of factors associated with SARS-CoV-2 infection among healthcare workers in Colombia. BMC Infect Dis. 2021;21:878. [PMID: 34452600] doi:10.1186/s12879-021-06581-y

10. Rosser JI, Tayyar R, Giardina R, et al. Case-control study evaluating risk factors for SARS-CoV-2 outbreak amongst healthcare personnel at a tertiary care center. Am J Infect Control. 2021;49:1457-63. [PMID: 34536502] doi:10.1016/j.ajic.2021.09.004

11. Haller S, Güsewell S, Egger T, et al. Impact of respirator versus surgical masks on SARS-CoV-2 acquisition in healthcare workers: a prospective multicentre cohort. Antimicrob Resist Infect Control. 2022;11:27. [PMID: 35123572] doi:10.1186/s13756-022-01070-6

12. Mastan S, Malik RA, Charalambous CP, et al; COVIDHipFracture Study Group. COVID-19 infection is related to differences in the use of personal protective equipment by orthopaedic specialist trainees caring for hip fracture patients during the second surge of COVID-19

### ORIGINAL RESEARCH

in the north west of England. Eur J Orthop Surg Traumatol. 2021;31:989-93. [PMID: 34110468] doi:10.1007/s00590-021-03006-z

13. Schmitz D, Vos M, Stolmeijer R, et al. Association between personal protective equipment and SARS-CoV-2 infection risk in emergency department healthcare workers. Eur J Emerg Med. 2021;28:202-9. [PMID: 33105329] doi:10.1097/MEJ.000000000000766

14. Li A, Slezak J, Maldonado AM, et al. SARS-CoV-2 positivity and mask utilization among health care workers. JAMA Netw Open. 2021;4:e2114325. [PMID: 34156456] doi:10.1001/jamanetworkopen. 2021.14325

15. Jefferson T, Del Mar CB, Dooley L, et al. Physical interventions to interrupt or reduce the spread of respiratory viruses. Cochrane Database Syst Rev. 2020;11:CD006207. [PMID: 33215698] doi:10.1002/ 14651858.CD006207.pub5

16. Bartoszko JJ, Farooqi MAM, Alhazzani W, et al. Medical masks vs N95 respirators for preventing COVID-19 in healthcare workers: a systematic review and meta-analysis of randomized trials. Influenza Other Respir Viruses. 2020;14:365-73. [PMID: 32246890] doi:10.1111/ irv.12745

17. Brooks JT, Beezhold DH, Noti JD, et al. Maximizing fit for cloth and medical procedure masks to improve performance and reduce SARS-CoV-2 transmission and exposure, 2021. MMWR Morb Mortal Wkly Rep. 2021;70:254-7. [PMID: 33600386] doi:10.15585/mmwr. mm7007e1

18. **Boseley S.** WHO warns of global shortage of face masks and protective suits. The Guardian. 7 February 2020. Accessed at www. theguardian.com/world/2020/feb/07/who-warns-global-shortage-face-masks-protective-suits-coronavirus on 24 June 2022.

19. Abaluck J, Kwong LH, Styczynski A, et al. Impact of community masking on COVID-19: a cluster-randomized trial in Bangladesh. Science. 2022;375:eabi9069. [PMID: 34855513] doi:10.1126/science.abi9069

20. Sun X, loannidis JP, Agoritsas T, et al. How to use a subgroup analysis: users' guide to the medical literature. JAMA. 2014;311:405-11. [PMID: 24449319] doi:10.1001/jama.2013.285063

#### FAST-TRACK REVIEW

Authors may request expedited review for manuscripts of very high quality that report findings that are likely to immediately affect practice or policy. We give priority for fast-tracking to large clinical trials and manuscripts reporting results likely to have an immediate impact on patient safety. If authors think that their manuscript warrants expedited review and publication, they should contact the Editor in Chief (claine@acponline.org) with their request and rationale. They should also include an electronic version of the manuscript and, for trials, the protocol and registry identification number. Author Contributions: Conception and design: M. Loeb, D. Mertz, M. Muller, J. Newton, W. Tarhuni.

Analysis and interpretation of the data: S. Borhan, J. Conly, M. Downing, C. Frenette, J. Kinross, M. Loeb, D. Mertz, B. Missaghi, M. Muller, E. Pullenayegum, P. Singh, W. Tarhuni.

Drafting of the article: M. Cividino, M. Downing, M. Loeb, J. Newton.

Critical revision of the article for important intellectual content: K. Ali, S. Borhan, L.A. Castellucci, J. Conly, M. Downing, C. Frenette, M. Hashmi, J. Kim, J.A. Leis, M. Loeb, D. Mertz, B. Missaghi, M. Muller, E. Pullenayegum, S. Smith, R. Somayaji, J.V. Vayalumkal, I. Youngster.

Final approval of the article: K. Ali, A. Bartholomew, S. Borhan, L.A. Castellucci, Z. Chagla, M. Cividino, J. Conly, M. Downing, C. Frenette, V. Glavin, M. Hashmi, M. Hassany, R. Hookoom, J. Kim, J. Kinross, O. Larios, J.A. Leis, M. Loeb, S. Mansour, D. Mertz, B. Missaghi, M. Muller, J. Newton, L. Parkes, E. Pullenayegum, P. Singh, S. Smith, R. Somayaji, W. Tarhuni, J.V. Vayalumkal, I. Youngster.

Provision of study materials or patients: K. Ali, L.A. Castellucci, J. Conly, M. Downing, C. Frenette, V. Glavin, J. Kim, J. Kinross, O. Larios, S. Mansour, B. Missaghi, M. Muller, R. Somayaji, J.V. Vayalumkal.

Statistical expertise: S. Borhan, M. Loeb, E. Pullenayegum.

Obtaining of funding: L.A. Castellucci, M. Loeb.

Administrative, technical, or logistic support: A. Bartholomew, Z. Chagla, J. Conly, M. Downing, C. Frenette, M. Hashmi, R. Hookoom, J. Kim, J.A. Leis, B. Missaghi, J. Newton, W. Tarhuni, J.V. Vayalumkal.

Collection and assembly of data: M. Cividino, M. Downing, C. Frenette, M. Hashmi, M. Hassany, O. Larios, M. Muller, J. Newton, L. Parkes, P. Singh, S. Smith, R. Somayaji, I. Youngster.

### **Supplementary Material\***

Loeb M, Bartholomew A, Hashmi M, et al. Medical masks versus N95 respirators for preventing COVID-19 among health care workers. A randomized trial. Ann Intern Med. 29 November 2022. [Epub ahead of print]. doi:10.7326/M22-1966

Investigators and Committees Changes to the protocol Power calculations for initial and intermediate designs Supplement Table 1: Medical masks and N95 respirators used Supplement Table 2: Secondary analyses Supplement Table 3: Subgroup analyses of exposure to respiratory illness Supplement Tables 4A and 4B: Adverse events Supplement Table 5: Exposure to COVID positive patients for which the participant provided direct patient care for over the last week Supplement Table 6: Exposure to suspected COVID positive patients for which the participant provided direct patient care for over the last week Supplement Table 7: Exposure time (minutes) to COVID patients for which the participant provided direct care for over the last week Supplement Table 8. Participation in aerosol generating procedures Supplement Table 9: Community exposures Supplement Table 10: Mean cycle threshold value of patients on study units during the trial Supplement Table 11: Ventilation Supplement Table 12: Hospital outbreaks (as defined by the healthcare facility) Supplement Table 13: Adherence to medical masks, N95 respirators, and other infection control precautions Supplement Table 14: Audited adherence to medical masks, N95 respirators Supplement Figure: Subgoup analysis for RT-PCR confirmed COVID-19 in participants who were seronegative at baseline

\* This supplementary material was provided by the authors to give readers further details on their article. The material was not copyedited.

Study Investigators: Canada: Alberta: J. Conly, S. Smith, R. Somayaji, O. Larios, J. Kim, B. Missaghi, J. Vayalumkal; Ontario: E. Pullenayegum, D. Mertz, Z. Chagla, M. Cividino, L. Castellucci, S. Mansour, M. Muller, M. Downing, V. Glavin, K. Ali; Quebec: C. Frenette, L. Parkes; Israel: I. Youngster; Pakistan: M. Hashmi; Egypt: W. Tarhuni, M. Hassany.

Steering Committee: M. Loeb (Chair and Principal Investigator), E. Pullenayegum, J. Conly, M. Hashmi, W. Tarhuni.

Data and Safety Monitoring Board: J. Johnstone (Chair), J. Koval, K. Katz.

Study Statisticians: P. Singh, E. Pullenayegum, S. Borhan.

Project Office Study Staff: A. Bartholomew, C. Kelly-Stradiotto, N. Fuller, R. Steckle, A. Pfeiffer, R. Hookoom, J. Bartoszko, P. Crossley.

Central Laboratory Staff: J. Newton.

Site Coordinators: Alberta: D. Sola, L. Kiplagat, N. Lamont, W. Bouwer; K. Robertson; Ontario:
R. Steckle, H. Stein, K. Covacha, C. Compas, A. Clement, D. Witham, K. Kavikondala; Quebec:
M. Pawliczka, H. Peiris; Israel: H. Klainer, Pakistan: M. Khan, F. Khan; Egypt: K. Prince, N.
Assem, O. Hamed, M. Lateef, R. Lotfy, A. Ataa, H. Sayed, A. Ahmed, E. Talaat, Y. Mohamed,
M. Tolba, D. Ashour, I. Ashraf, A. Ali, A. Rohym, Y. Mahmoud, S. Gebili, A. Hafez, A. Ihab,
M. Latil, M. Ashour, A. Fetouch, H. Ahmed, M. ElmagdAmer, S. Ahmed, N. Osama, W. Sayed,
A. Rashwan, M. AbdelFatah, M. Gamal, A. Fathi Elwahsh, E. Kamal, A. Mahdy, W. Sheasha,
W. Amin, M. Mohamadi, B. Eysa.

### **Changes to the Protocol**

Changes made prior to May 4, 2020, which was prior to the start of enrollment:

• Eligibility criteria expanded from nurses who provide direct patient care to health care workers that provide direct patient care.

• Allowed extended and re-use of N95 respirators if the local situation required it.

• Added self-reporting of hand hygiene and the use of external monitors if feasible.

• Reduced the duration of follow up from 12 weeks to 10 weeks

Changes made after May 4, 2020:

• Expanded the criteria for the requirement of swabs to detect COVID-19 by adding runny nose, altered taste or smell, and conjunctivitis and also asked for a swab for fever, cough, or shortness of breath alone OR for two of the previously listed symptoms or signs (May 19, 2020).

• Added previously known COVID infection as an exclusion (October 30, 2020).

• Added receipt of a COVID-19 vaccine with efficacy of > 50% as an exclusion (October 30, 2020).

• Allowed those participants that received a COVID-19 vaccine after enrollment with efficacy of > 50% for the circulating strain to continue to be followed until 2 weeks after the first dose of the vaccine (December 17, 2020).

• Increased the sample size to 1,010 participants to allow 90% power at an alpha (one sided) of 0.05 and to account for participants who may not have completed 10 weeks because of COVID-19 mRNA vaccination (July 26, 2021).

3

Summary of changes to the Statistical Analysis Plan

• The first statistical analysis plan was part of the original March 6, 2020, protocol and there is not a

separate document.

• In the Feb. 17, 2021 Statistical Analysis Plan, the analysis was changed to a time to event analysis, using Cox

proportional hazards, to account for administrative censuring of participants who received vaccination after enrollment. We specified administrative censuring for participants who received mRNA vaccine after enrollment (at 2 weeks after the first dose) to be included in the ITT analysis.

• The original non-inferiority margin (5% percentage points, assuming an attack rate of 5%) was changed to a hazard ratio of 2.

• The per protocol analysis was defined as including participants with at least 80% follow up.

• Pre-specified subgroup analyses for Pre-Omicron vs Omicron variants and for universal masking vs no universal masking.

### Power calculation for original and intermediate design

Assuming an event rate of 5% in the two groups for the primary outcome, we initially estimated that a sample size of 524 (262 participants per group) would give the trial 80% power to show noninferiority between the medical mask and N95 respirator groups, with a noninferiority margin of 5 percentage points at a two-sided alpha of 0.05. This margin was selected on a clinical basis for RT-PCR confirmed COVID-19 in a non-high-risk population. With minimal COVID-19 data available when we were planning the trial, we reasoned that this magnitude of effect preserves half of the relative risk when an N95 respirator is compared to no mask for SARS. That is, based on our previous study among critical care nurses, during SARS, the relative risk reduction of the N95 respirator compared to no mask was 78% (Loeb M, McGeer A, Henry B, et al. SARS among critical care nurses, Toronto. Emerg Infect Dis. 2004;10(2):251-255). The attack rate with coronavirus among non-critical care healthcare workers in the N95 respirator group in our influenza trial was 5.7% (Loeb M, Dafoe N, Mahony J, et al. Surgical mask vs N95 respirator for preventing influenza among health care workers: a randomized trial. JAMA. 2009;302(17):1865-1871). If an N95 respirator, compared to no mask, leads to a 75% risk reduction (RR) (i.e., reduces the risk of COVID-19 infection from 20% to 5%), it follows that an absolute margin of 5% represents a COVID-19 infection rate of 10% for medical masks or a RR of 50% compared to no mask. This preserves half of the RR of the N95 respirator (i.e., the active comparator) a magnitude of effect that is conservative given that 50% preservation is commonly used in non-inferiority trials where the outcome is mortality (Althunian TA, de Boer A, Groenwold RHH, Klungel OH. Defining the noninferiority margin and analysing noninferiority: An overview. Br J Clin Pharmacol. 2017;83(8):1636–1642).

doi:10.1111/bcp.13280. The margin of 5% was considered non-inferior by the clinical judgement of experienced practising physicians, including infectious diseases, occupational health, and internal medicine physicians. We increased the sample size to 1,010 participants to power the trial to 90% at a one-sided alpha of 0.025 and to account for participants who could not complete 10 weeks of follow up because of administration of mRNA vaccine (July 26, 2021).

### Supplement Table 1: Medical and fit-tested N95 respirators

### Medical masks

Canada	PRIMED (ASTM 1,2 3), Vanch (ASTM 1), Jiangsu chaoyue (ASTM 2),
	Canadian Shield (ASTM 2), Medicom (ASTM 1, 2), Ritmed DisTech
	(ASTM 2), Surgiplus (ASTM 1), Halyard (ASTM 3)
Israel	Aero Pro (ASTM 1, ASTM 2)
Pakistan	Dens 'n Dente -Canada D2 (ASTM 2)
Egypt	Dens 'n Dente-Canada D2 (ASTM 2)

### N95 Respirators

Canada	3M 1860, 3M 1870+, -3M 8110s, 3M 8210, 3M 1804, 3M 1804S, M 9210,
	Halyard KC46727, Halyard KC46827
Israel	Makrite 9500
Pakistan	3M 1860, 3M 1870+, -3M 8110s, 3M 8210, M 9210
Egypt	3M 1860, 3M 1870+, -3M 8110s, 3M 8210, M 9210

Secondary Outcomes	Medical Mask	N95 Respirator	
			HR (CI 95%)
Acute respiratory illness			
Canada	3/131 (2.3)	2/135 (1.5)	1.45 (0.24-8.76)
Israel	3/17 (17.7)	0/17 (0)	
Pakistan	4/92 (4.4)	6/94 (6.4)	0.67 (0.19-2.38)
Egypt	17/257 (6.6)	23/261 (8.8)	0.76 (0.41-1.43)
All sites	27/497 (5.4)	31/507 (6.1)	0.89 (0.53-1.49)
Lower respiratory infection or pneumonia			
Canada	1/131 (0.8)	0/135 (0)	
Israel	0/17 (0)	0/17 (0)	
Pakistan	1/92 (1.1)	3/94 (3.2)	0.34 (0.04-3.29)
Egypt	1/257 (0.4)	0/261 (0)	
All sites	3/497 (0.6)	3/507 (0.6)	1.02 (0.21-5.04)
Work related absenteeism			
Canada	21/131 (16.0)	20/135 (14.8)	1.15 (0.62-2.13)
Israel	6/17 (35.3)	3/17 (17.7)	1.93 (0.48-7.73)
Pakistan	2/92 (2.2)	10/94 (10.6)	0.19 (0.04-0.86)
Egypt	19/257 (7.4)	12/261 (4.6)	1.66 (0.81-3.42)
All sites	48/497 (9.7)	45/507 (8.9)	1.12 (0.74-1.68)
Seroconversion*†			OR (CI 95%)
Canada	1/125 (0.8)	0/125 (0)	
Israel	0/14 (0)	2/13 (15.4)	
Pakistan	4/9 (44.4)	2/8 (25.0)	1.60 (0.17-15.23

### Supplement Table 2: Analyses of secondary outcomes.

Egypt	15/37 (40.5)	18/39 (46.2)	0.84 (0.41-1.71)
All sites	20/185 (10.8)	22/185 (11.9)	0.88 (0.43-1.81)
Laboratory confirmed infection ‡			
Canada	9/131 (6.9)	3/135 (2.2)	3.31 (0.87-12.62)
Israel	6/17 (35.3)	6/17 (35.3)	1.00 (0.24-4.08)
Pakistan	7/92 (7.6)	4/94 (4.3)	1.91 (0.52-6.93)
Egypt	50/257 (19.5)	56/261 (21.5)	0.88 (0.58-1.36)
All sites	72/497 (14.5)	69/507 (13.6)	1.08 (0.75-1.55)

\*The denominator is of participants who were SARS-CoV-2 spike IgG antibody negative and nucleocapsid IgG antibody negative.

<sup>†</sup>Two participants who received Sinopharm vaccine (which generates nucleocapsid IgG antibodies) were excluded from the analysis.

‡ This refers to infection confirmed by either RT-PCR or by seroconversion

### Supplement Table 3: Subgroup analysis

Exposure to respiratory illness vs No exposure (%)	Medical Mask	N95 Respirator	HR (CI 95%)	P- value for interaction
	(N=497)	(N=507)		
No household or community exposure to respiratory illness (n=486)	19/230 (8.3%)	15/228 (6.6%)	1.06 (0.53-2.11)	0.96
$\geq$ 1 household or community exposure to respiratory illness (n=518)	33/267 (12.4%)	32/279 (11.5%)	1.08 (0.66 - 1.78)	

	Car	nada	Ist	rael	Pak	istan	Eg	ypt	All re	egions
No. (%)	MM	N95	MM	N95	MM	N95	MM	N95	MM	N95
Any adverse events due to mask use	25/109	24/105	0/13	2/14	8/89	14/92	14/223	19/224	47/434	59/435
	(22.9)	(22.9)	(0.0)	(14.3)	(9.0)	(15.2)	(6.3)	(8.5)	(10.8)	(13.6)
Discomfort	12/109	16/105	0/13	2/14	2/89	10/92	6/223	14/224	20/434	42/435
	(11.0)	(15.2)	(0.0)	(14.3)	(2.3)	(10.9)	(2.7)	(6.3)	(4.6)	(9.7)
Skin irritation	18/109	15/105	0/13	0/14	2/89	5/92	2/223	5/224	22/434	25/435
	(16.5)	(14.3)	(0.0)	(0.0)	(2.3)	(5.4)	(0.9)	(2.2)	(5.1)	(5.8)
Headaches	15/109	16/105	0/13	1/14	2/89	7/92	3/223	5/224	20/434	29/435
	(13.8)	(15.2)	(0.0)	(7.1)	(2.3)	(7.6)	(1.4)	(2.2)	(4.6)	(6.7)

Supplement Table 4A: Adverse events related to facemask use (MM= Medical Mask; N95=N95 Respirator)

Supplement Table 4B: Adverse events by country

Country	Medical	N95 respirator
	Mask	
Canada	1	2
back injury		1
knee surgery	1	
workplace injury		1
Israel	0	0
Pakistan	0	0
Egypt	6	0
appendicitis	1	0
broken leg	1	0
clavicle fracture. No Hospitalization	1	0
Hospitalized - symptoms related to covid 19	3	0

Supplement Table 5. Exposure to confirmed COVID positive patients for which the participant provided direct patient care for over the last week.\* (MM= Medical Mask; N95=N95 Respirator)

	Cana	ada†	Isra	nel†	Pakis	stan†	Egy	/pt†	All reg	gions†
Number of Exposures per week*	MM	N95	MM	N95	MM	N95	MM	N95	MM	N95
0	77.0	76.3	17.2	10.5	25.2	22.9	27.4	24.3	36.9	34.0
1-5	52.9	56.9	43.1	48.8	107.5	100.4	67.3	64.5	72.4	70.4
6-10	10.8	7.6	28.3	22.1	8.2	9.2	19.5	20.7	15.5	15.6
≥11	2.2	2.1	54.2	61.6	1.9	10.3	28.7	33.3	18.1	22.9

\*Based on ten weekly healthcare worker surveys. Event categories of 0, 1-5, 6-10, ≥11 events

† Number of exposures per 1,000 participant days

	Can	ada†	Isra	ael†	Paki	stan†	Egy	ypt†	All re	gions†
Number of Exposures per participant*	MM	N95	ММ	N95	MM	N95	MM	N95	MM	N95
0	49.7	53.5	36.3	19.3	25.6	21.2	20.8	18.4	25.1	22.4
1-5	55.0	54.8	17.0	46.3	107.8	103.1	66.0	63.1	74.6	72.1
6-10	22.6	21.0	19.4	21.2	7.6	8.9	19.7	17.9	17.0	16.0
≥11	15.6	13.7	70.2	56.0	1.9	9.7	36.3	43.5	26.2	32.5

Supplement Table 6. Exposure to suspected COVID patients for which the participant provided direct patient care for over the last week. \* (MM= Medical Mask; N95=N95 Respirator)

\*Based on 10 weekly healthcare worker surveys. Event categories of 0, 1-5, 6-10, ≥11 events.
† Number of exposures per 1,000 participant days

Supplement Table 7. Exposure time (minutes) to COVID patients for which the participant provided direct care for over the last week. \* (MM= Medical Mask; N95=N95 Respirator)

	Car	nada		Israel	Pakist	an	Eg	gypt	All regi	ons
	MM	N95	MM	N95	MM	N95	MM	N95	MM	N95
	59.3	54.4	64.3	122.9(193.7)	186.7(223.6)	196.2	427.8	443.4	287.4(606.7)	293.8
Mean (SD) minutes	(99.2)	(75.6)	(153.0)			(346.4)	(773.5)	(917.6)		(711.9)

\*Healthcare worker weeks (10 surveys)

	Can	ada	Isra	ael	Paki	stan	Eg	ypt	All re	gions
Events /1,000 participant days	MM	N95	MM	N95	MM	N95	MM	N95	MM	N95
One or more aerosol generated procedures in the past week.	37.8	34.9	25.55	29.0	17.0	14.2	13.2	11.5	20.5	18.3
Intubation, extubation	6.3	5.7	-	-	6.1	3.1	4.8	4.0	5.4	4.2
Tracheotomy/tracheostomy	1.8	1.0	-	-	2.4	0.8	0.6	0.3	1.3	0.5
Bronchoscopy	1.1	0.4	-	-	1.9	0.5	0.4	0.2	0.9	0.3
Surgeries using a high- speed device in the respiratory tract	0.6	0.0	-	-	1.8	0.2	0.6	0.1	0.8	0.1
Post-mortem procedures involving high-speed devices	0.3	0.1	-	-	1.1	0.2	0.6	0.4	0.7	0.3
Dental procedures involving high-speed or ultrasonic drilling or cleaning	0.3	0.1	-	-	1.1	0.2	1.1	0.1	0.9	0.1
Non-invasive ventilation including BIPAP/CPAP	6.3	6.5	-	-	9.1	8.3	3.2	1.9	5.2	4.4
High-frequency Oscillating Ventilation	0.8	1.1	-	-	2.6	1.2	2.4	1.5	2.0	1.4
Induction of sputum with nebulized saline	0.7	0.3	-	-	4.1	1.2	5.4	4.6	4.0	2.8
High-flow nasal oxygen	10.1	9.2	-	-	10.0	5.2	8.7	8.4	9.3	7.9

Supplement Table 8. Participation in aerosol generating procedures

### Supplement Table 9. Community exposures (MM= Medical Mask; N95=N95 Respirator)

	Car	ada	Isr	ael	Paki	istan	Eg	ypt	All re	gions
Events /1,000 participant days	MM	N95	MM	N95	MM	N95	MM	N95	MM	N95
Exposure to household or community members with respiratory illness in the past week	10.8	8.6	27.9	26.8	6.8	8.8	44.5	48.5	27.9	29.9
Exposure to household members with respiratory illness in the past week	-	-	-	-	-	-	21.2	21.7	-	-
Practised physical distancing outside of work in past week	137.9	138.2	135.9	121.7	132.7	121.2	115.6	123.8	125.2	126.7

<u><u> </u></u>	NT 1	NT 1		
Site	No. weeks	No. days	Mean (SD)	range
Canada site 1	3.7	27.2	28.07 (5.71)	[22.65-37.39]
Canada site 2	7.9	56.0	25.07 (6.44)	[16.50-33.80]
Canada site 3	9.0	64.0	23.84 (4.64)	[17.50-30.60]
Canada site 4	11.2	79.1	27.38 (7.77)	[8.10-43.60]
Canada site 5	1.3	9.8	21.45 (7.57)	[10.80-41.60]
Canada site 6	17.2	121.6	31.95 (6.21)	[21.68-44.20]
Canada site 7	40.3	282.8	26.20 (7.38)	[12.30-43.00]
Canada site 8	7.1	50.5	35.02 (2.22)	[32.00-38.10]
Canada site 9	12.0	85.1	28.46 (7.48)	[18.20-42.33]
Canada site 10	7.6	54.0	28.27 (8.73)	[12.82-38.15]
Canada site 11	13.0	92.0	24.89 (6.43)	[8.65-37.14]
Canada site 12	11.6	82.0	23.70 (6.74)	[10.28-37.44]
Canada site 13	4.9	35.0	24.83 (9.10)	[11.40-37.10]
Canada site 14	15.6	110.2	26.79 (7.70)	[17.67-40.20]
Canada site 15	32.2	226.6	26.62 (7.41)	[8.90-40.20]
Canada site 16	38.3	269.2	28.15 (7.52)	[10.70-42.00]
Canada site 17	38.6	270.8	27.52 (7.55)	[9.50-42.00]
Canada site 18	4.9	35.4	26.74 (7.49)	[16.00-38.90]
Canada site 19	10.7	75.7	27.08 (7.75)	[12.30-42.49]
Canada site 20	10.4	73.7	31.40 (8.05)	[21.15-42.40]
Canada site 21	5.7	41.0	28.85 (5.59)	[21.50-34.90]
Canada site 22	10.0	71.0	23.71 (6.92)	[9.41-38.10]
Canada site 23	3.0	22.0	24.91 (6.27)	[15.60-38.23]
Israel site 1	12.0	85.0	33.71 (3.68)	[27.00-41.00]
Israel site 2	11.0	78.0	28.07 (4.68)	[19.00-36.00]

Supplement Table 10. Mean cycle threshold value of patients on study units during the trial\*.

\*The C(t) values of RT-PCR tests done on patients on study units when participants were followed were compiled. Since different RT-PCR platforms were used (Roche, Thermofisher, Gene expert, BD Max, Hamilton, Seegene, Qiagen, Rotor gene), the C(t) values cannot be directly compared.

### Supplement Table 11. Ventilation

Canada	Israel	Pakistan	Egypt
HVAC system:	HVAC system:	HVAC system:	HVAC system (One
Minimum total air	Minimum total air	Minimum total air	facility): Minimum
exchanges per hour:	exchanges per hour:	exchanges per hour:	total air exchanges per
Patient rooms: 4-6 Emergency	Facility-wide: 6	Varies between units	hour: Varies between units
department: 9-15	Exchanges include	Exchanges include	
-	outdoor air: Yes	outdoor air: Yes	Exchanges include
Exchanges include outdoor air: Yes			outdoor air: Yes
			Outdoor air (Five
			facilities):
			Ventilation source
			includes a combination
			of air conditioning and
			outdoor air

Supplement Table 12. Hos	pital outbreaks (as defined b	v the healthcare facility)

Canada	Israel	Pakistan	Egypt
In 23 study units there were no outbreaks, outbreaks were reported on 5 units for 29 weeks from September 2020 to November 2020 and from December 2020 to February 2021. Follow up was for 43.43 weeks.	In two long-term care facilities, outbreaks were reported in both facilities for 4 weeks from November to February 2021. Follow up was for 10.57 weeks.	In 11 study units, there were no clusters or outbreaks reported during participant follow up from June to December 2021. Follow up was for 25.14 weeks.	At 6 hospital sites, outbreaks were reported over 5 weeks, from January 2022 until the first week of February 2022. Follow up was for 14.14 weeks.

	Car	nada	Isra	lel	Pak	istan	Eg	ypt	All re	gions
	MM	N95	MM	N95	MM	N95	MM	N95	MM	N95
Extent to which assigne	d mask was	worn								
Always (%)	94.6	74.1	98.3	96.8	92.8	73.3	88.6	85.9	91.2	80.7
Sometimes (%)	5.2	14.9	1.7	3.2	5.5	24.5	7.8	9.4	6.5	13.7
Never (%)	0.1	10.5	0.0	0.0	0.5	1.9	2.0	2.6	1.1	4.3
Do Not Recall (%)	0.2	0.6	0.0	0.0	1.1	0.4	1.6	2.1	1.1	1.3
Adherence to hand hygi										
Always (%)	95.9	98.3	99.2	94.4	96.6	91.5	90.1	90.5	93.1	92.7
Sometimes (%)	3.2	1.0	0.9	5.6	3.0	7.10	9.1	9.0	6.2	6.6
Never (%)	0.8	0.3	0.0	0.0	0.2	1.0	0.4	0.3	0.4	0.4
Do Not Recall (%)	0.2	0.4	0.0	0.0	0.1	0.4	0.3	0.2	0.2	0.3
Extent of eye protection										
Always (%)	87.8	88.0	52.5	74.7	27.7	31.0	22.3	25.2	31.0	34.0
Sometimes (%)	12.0	11.2	34.4	25.3	40.1	37.0	26.2	25.2	26.4	25.0
Never (%)	0.3	0.3	9.8	0.0	29.1	31.0	47.3	45.9	39.0	38.0
Do Not Recall (%)	0.0	0.6	3.3	0.0	3.1	1.1	4.2	3.8	3.6	3.0
Extent of use of gowns	when provid	ling direct p	atient care							
Always (%)	49.3	64.2	100.0	96.0	81.8	78.9	49.4	48.2	58.1	58.6
Sometimes (%)	44.8	33.2	0.0	4.0	14.3	12.9	26.8	26.0	25.5	23.2
Never (%)	5.2	1.9	0.0	0.0	2.8	7.4	20.9	22.1	14.2	15.7
Do Not Recall	0.7	0.7	0.0	0.0	1.1	0.8	3.0	3.7	2.2	2.5
Extent of use of gloves	when provid	ling direct p	atient care							
Always (%)	74.0	81.2	96.7	96.0	85.6	76.8	69.7	65.8	74.6	71.0
Sometimes (%)	24.9	17.4	3.3	4.0	8.3	13.0	13.4	14.2	13.4	14.1
Never (%)	1.1	0.9	0.00	0.0	5.6	9.2	11.4	14.3	8.6	11.2
Do Not Recall (%)	0.0	0.5	0.00	0.0	0.6	1.0	5.5	5.7	3.5	3.8

# Supplement Table 13. Self-reported adherence to medical masks, N95 respirators, and other infection control precautions (MM= Medical Mask; N95=N95 Respirator)

Country		No. Adherent	/ No. Observations
Pakistan	Date	Medical Mask	N95 respirator
Site 3	2021-11-15	3/3	2/2
Site 1	2021-11-16	4/4	1/1
Site 2	2021-11-18	4/4	3/5
Site 2	2021-11-25	1/1	2/2
Site 3	2021-11-26	2/2	-
Total		14/14	8/10
Egypt	2022 01 21	11/11	12/12
Site 5	2022-01-31	11/11	12/12
Site 1	2022-02-01	1/1	-
Site 2	2022-02-01	4/4	5/5
Site 3	2022-02-01	3/3	1/1
Site 6	2022-02-03	6/6	9/9
Site 1	2022-02-05	5/6	13/14
Site 4	2022-02-08	3/3	3/4
Site 4	2022-02-22	6/6	3/3
Site 5	2022-02-23	12/12	12/12
Site 2	2022-02-24	5/5	7/7
Site 1	2022-02-26	11/12	11/11
Site 3	2022-02-26	4/4	2/2
Site 6	2022-02-26	9/9	8/8
Site 2	2022-03-02	7/7	4/4
Site 5	2022-03-02	10/10	14/14
Site 3	2022-03-03	5/5	1/1
Total		102/104	105/107

Supplement Table 14. Audited adherence to medical masks and N95 respirators

### Supplement Figure: Subgroup analysis for RT-PCR confirmed COVID-19 in participants who were seronegative at baseline

#### Sensitivity analysis of the primary outcome in seronegative participants

	No of events/total (%)					
RT-PCR confirmed COVID-19	Medical Mask	N95 Respirator				
Canada	8/125 (6.40)	3/125 (2.40)				
Israel	6/14 (42.86)	4/13 (30.77)				
Pakistan	0/9 (0.00)	0/8 (0.00)				
Egypt	11/37 (29.73)	12/39 (30.77)				
All sites	25/185 (13.51)	19/185 (10.27)				

